

ETHICS PROGRAM REVIEW FOLLOW-UP REPORT

Agency: U.S. Department of Homeland Security HQ (DHS)

Second Follow-up to OGE Report Number: 18-46

Report No.: 21-01F

Date: October 27, 2020



As a result of its review of the U.S. Department of Homeland Security HQ (DHS) ethics program, the Office of Government Ethics (OGE) issued six recommendations in its September 2018 review report. OGE conducted a follow-up review in March 2020 but was only able to close four of the six recommendations at that time. OGE conducted a second follow-up review in August 2020. The results of OGE's second follow-up review are summarized below.

	Recommendation	Agency Action and OGE Finding	Status
2	Ensure that public financial disclosure reports are certified within 60 days of receipt, unless further information is being sought from a filer.	OGE reviewed a sample of 60 public financial disclosure reports filed in 2020 and found that 50 (83%) were certified within 60 days of receipt. Five additional reports were certified within 63 days of receipt.	Closed
3	Ensure that confidential financial disclosure reports are certified within 60 days of receipt, unless further information is being sought from a filer.	OGE reviewed a sample of 46 confidential financial disclosure reports filed in 2020 and found that all the reports were certified within 60 days of receipt.	Closed

Based on the results of OGE's second follow-up review, all recommendations are closed.